

STATE OF MAINE

CHARITABLE SOLICITATIONS

Application for Exemption as a Charitable Organizations



Department of Professional and Financial Regulation

Office of Licensing and Registration

35 State House Station

Augusta, ME 04333-0035

Office Telephone: (207) 624-8624
HEARING IMPAIRED (207) 624-8563

Office located at: 122 Northern Avenue, Gardiner, Maine



STATE OF MAINE
DEPARTMENT OF PROFESSIONAL
AND FINANCIAL REGULATION
CHARITABLE SOLICITATIONS
35 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0035
(207) 624-8563 (HEARING IMPAIRED)

ANGUS S. KING, JR.
GOVERNOR

ANNE L. HEAD
DIRECTOR

INSTRUCTIONS FOR EXEMPTION

If your organization qualifies for any of the “exemptions”, please complete the affidavit and submit the following:

- \$10 exemption registration fee
- copy of most recent financial statement, report or return filed with the IRS
- photocopy of IRS determination letter
- list of officers/directors

- Organizations established for and serving bona fide religious purposes. If exempt the organization must submit a copy of form letter from Internal Revenue Services that grants the organization exemption pursuant to section 509(a)(1) and 170(b)(1)(A)(i) of the Internal Revenue Code.
- Organizations that solicit primarily within their membership and where solicitation activities are conducted by the members.
- Persons soliciting contributions for the relief of any individuals specified by name at the time of the solicitation, when all of the contributions collected, without any deductions whatsoever, and are turned over to the named beneficiary for that individual’s use.
- Organizations that do not intend to solicit, receive and do not actually solicit or receive contributions from the public in excess of \$10,000 during calendar year or do not receive contributions from more than 10 persons during a calendar year, if all fund-raising activities are carried on by persons who are unpaid for their services and if no part of the assets or income inures to the benefit of or is paid to any officer or member.
- Educational institutions, the curriculums of which in whole or in part registered or approved by the Department of Education, either directly or by acceptance of accreditation by an accrediting body recognized by the Department of Education, and organizations operated by the student bodies of such institutions.
- Hospitals that are nonprofit and charitable.

Citation – 9 M.R.S.A., Chapter 385

Annually a form will be sent to those organizations exempted (except hospitals, religious, membership or educational organizations) to see if the organization’s circumstances have changed. If they have not the organization will be required to reregister as an exempt organization. If the circumstances have changed the organization must register as a charitable organization.

An exempt organization is only exempted from registering as a charitable organization in the State of Maine. It must fulfill all other requirements, such as completing campaign forms after a contract with a professional solicitor/professional fund-raising counsel/commercial co-venturer has expired.

All checks are to be made to Treasurer, State of Maine. Please do not send cash.

If you have any questions, please contact this office.



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**CHARITABLE ORGANIZATION
 AFFIDAVIT FOR EXEMPTION**

Personally appeared before me, the undersigned authority _____
 (Person Making Statement)

who is _____
 (Owner/President/Vice President/Secretary/Treasurer/Partner)

of _____
 (Name of Organization)

located at _____
 (Street Address)

in _____ () _____
 (City, State and Zip Code) (Telephone Number)

Federal Employer's Identification Number(FEIN) _____

Please indicate below why organization is exempt.

Who being duly sworn attests as being exempt:

- Organizations established for and serving bona fide religious purposes. If exempt the organization must submit a copy of form letter from Internal Revenue Services that grants the organization exemption pursuant to section 509(a)(1) and 170(b)(1)(A)(i) of the Internal Revenue Code.
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- Educational institutions, the curriculums of which in whole or in part registered or approved by the Department of Education, either directly or by acceptance of accreditation by an accrediting body recognized by the Department of Education, and organizations operated by the student bodies of such institutions.
- Hospitals that are nonprofit and charitable.

Citation – 9 M.R.S.A., Chapter 38

1. The attached is a list of principal executive personnel.

2. That the purpose of the charitable organization is:

3. That the fiscal year end for the organization is: _____

4. I understand that anyone knowingly making a false representation to the Office with the intent to obtain an exemption from the requirements of 5003 or 5006 commits a Class D crime.

5. If these facts or circumstances change, so as to alter the basis for exemption. I shall immediately notify the Department of Professional and Financial Regulation, Office of Licensing and Registration, of such changes.

Date

Signature

Name and Title(Please Print)



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AUTHORIZATION OF CREDIT CARD PAYMENT

Fees owed to this Department may be paid by the use of a credit card. If you wish to pay your fee(s) with your credit card, please complete this form and send it with your application. Payment through credit cards will not be processed without this authorization form.

Name: (applicant fees being paid for)		
Mailing Address: (applicant fees being paid for)		
City:	State:	Zip Code:
County:	Telephone #: () -	
Name of cardholder: (if other than applicant)		
Mailing Address: (if other than applicant)		
City:	State:	Zip Code:

I authorize the State of Maine, Department of Professional and Financial Regulation, Office of Licensing and Registration to charge my:

Visa MasterCard _____

Card number

Expiration date: ____/____/____ in the amount of: \$ _____

Signature: _____ Date: ____/____/____

